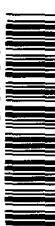


12/07/01

JC962 U.S. PTO



12-17-01 A

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P1379

First Inventor SHIK-KWANG WU

Title Solid Phase Method for Synthesis.
Conjugates Synthesized...the same

Express Mail Label No. EV018409745US

10/016569 PRO
12/07/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 55]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Check No.s 8758 & 8751

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.

Prior application information.

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | | |
|-----------------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------|----------------|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | 24_394 (Insert Customer No. or Attach bar code label here) | or <input type="checkbox"/> Correspondence address below | |
| Name | LaRiviere, Grubman & Payne, LLP | | |
| Address | P.O. Box 3140 | | |
| City | Monterey | State | California |
| Country | USA | Telephone | (831) 649-8800 |
| Name (Print/Type) | May Lin DeHaan | Registration No. (Attorney/Agent) | 42,472 |
| Signature | May Lin DeHaan | | Date 12/17/01 |

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

EV018409745US

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 599.00)

Complete if Known

| | |
|----------------------|----------------|
| Application Number | Filed Herewith |
| Filing Date | Filed Herewith |
| First Named Inventor | SHIK-KWANG WU |
| Examiner Name | Unknown |
| Group Art Unit | Unknown |
| Attorney Docket No. | P1379 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
 Deposit Account Number []
 Deposit Account Name []
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27.
 2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code (\$) | Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------------------|-----------------------|-----------------------|---------------------------------------------------------------------------------|-------------------------|
| 105 | 130 | 205 | 65 Surcharge - late filing fee or oath | [] |
| 127 | 50 | 227 | 25 Surcharge - late provisional filing fee or cover sheet | [] |
| 139 | 130 | 139 | 130 Non-English specification | [] |
| 147 | 2,520 | 147 | 2,520 For filing a request for ex parte reexamination | [] |
| 112 | 920* | 112 | 920* Requesting publication of SIR prior to Examiner action | [] |
| 113 | 1,840* | 113 | 1,840* Requesting publication of SIR after Examiner action | [] |
| 115 | 110 | 215 | 55 Extension for reply within first month | [] |
| 116 | 400 | 216 | 200 Extension for reply within second month | [] |
| 117 | 920 | 217 | 460 Extension for reply within third month | [] |
| 118 | 1,440 | 218 | 720 Extension for reply within fourth month | [] |
| 128 | 1,960 | 228 | 980 Extension for reply within fifth month | [] |
| 119 | 320 | 219 | 160 Notice of Appeal | [] |
| 120 | 320 | 220 | 160 Filing a brief in support of an appeal | [] |
| 121 | 280 | 221 | 140 Request for oral hearing | [] |
| 138 | 1,510 | 138 | 1,510 Petition to institute a public use proceeding | [] |
| 140 | 110 | 240 | 55 Petition to revive - unavoidable | [] |
| 141 | 1,280 | 241 | 640 Petition to revive - unintentional | [] |
| 142 | 1,280 | 242 | 640 Utility issue fee (or reissue) | [] |
| 143 | 460 | 243 | 230 Design issue fee | [] |
| 144 | 620 | 244 | 310 Plant issue fee | [] |
| 122 | 130 | 122 | 130 Petitions to the Commissioner | [] |
| 123 | 60 | 123 | 60 Processing fee under 37 CFR 1.17(q) | [] |
| 126 | 180 | 126 | 180 Submission of Information Disclosure Stmt | [] |
| 581 | (40) | 581 | (40) Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 | 740 | 246 | 370 Filing a submission after final rejection (37 CFR § 1.129(a)) | [] |
| 149 | 740 | 249 | 370 For each additional invention to be examined (37 CFR § 1.129(b)) | [] |
| 179 | 740 | 279 | 370 Request for Continued Examination (RCE) | [] |
| 169 | 900 | 169 | 900 Request for expedited examination of a design application | [] |
| Other fee (specify) _____ | | | | [] |
| *Reduced by Basic Filing Fee Paid | | | | SUBTOTAL (3) (\$ 40.00) |

*or number previously paid, if greater; For Reissues, see above

| | | | | |
|-------------------|-----------------------|--------------------------------------|--------|------------------------|
| SUBMITTED BY | | Complete if applicable | | |
| Name (Print/Type) | May Lin DeHaan | Registration No. (Attorney/Agent) | 42,472 | Telephone 831-649-8800 |
| Signature | <i>May Lin DeHaan</i> | | Date | 12/7/01 |

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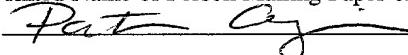
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"Express Mail" mailing label number: EV018409745US

Date of Deposit: December 7, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box Patent Application, Commissioner for Patents, Washington D.C. 20231.

Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature: 

PATENT
Docket No. P1379

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|
| APPLICANTS: | SHIH-KWANG WU, TING-GUNG CHANG, CHIN-LU TSENG, LI-JUNG CHEN, KAE-SHYANG SHIH | EXAMINER: UNKNOWN |
| SERIAL NO.: | FILED HEREWITH | ART UNIT: UNKNOWN |
| FILED: | FILED HEREWITH | |
| TITLE: | SOLID PHASE METHOD FOR SYNTHESIS PEPTIDE- SPACER-LIPID CONJUGATES, CONJUGATES SYNTHESIZED THEREBY AND TARGETED LIPOSOMES CONTAINING THE SAME | |

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

In connection with the above-referenced matter, transmitted herewith are the following:

1. Specification (46 pages); Claims (8 pages), and Abstract (1 page);
2. Utility Patent Application Transmittal (1 page);
3. Declaration and Power of Attorney for Patent Application (2 pages);

4. Verified Statement Claiming Small Entity Status (1 page);
 5. Recordation Form Cover sheet (1 page);
 6. Assignment Agreement (1 page);
 7. Fee Transmittal (1 page);
 8. Check No.8758 in the amount of \$559.00 for basic application fee; and
 9. Post Card in acknowledgment of all transmitted materials.

CLAIMS AS FILED BY A LARGE/SMALL ENTITY

| (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) BASIC FEE \$370.00 |
|---------------------|---------------------|---------------------|-------------|------------------------------|
| TOTAL CLAIMS | 41-20--0 | 21 | x \$9.00 | 189.00 |
| INDEPENDENT CLAIMS | 1-3--0 | 0 | x \$42.00 | 0.00 |
| ANY MULTIPLE CLAIMS | 0 | | 0 | |
| SURCHARGE | | | | 0.00 |
| | | TOTAL FILING FEE | | \$559.00 |

Also enclosed is check No. 8751 in the amount of \$40.00 for recordation fee.

Please date-stamp the enclosed postcard and return same to the undersigned in acknowledgment of receipt of all transmitted material.

Respectfully submitted,


 May Lin DeHaan
 Reg. No. 42,472

MLD/sgs
 December 7, 2001
 LARIVIERE, GRUBMAN & PAYNE
 Post Office Box 3140
 Monterey, CA 93942
 (831) 649-8800